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Receipt

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\*LIMITED TO MATTERS  
AND PROCEEDINGS BEFORE  
FEDERAL COURTS & AGENCIES  
\*\*REGISTERED PATENT AGENT  
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February 11, 2002

WRITER'S DIRECT NUMBER:  
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Commissioner for Patents  
Washington, D.C. 20231

Art Unit 1632

Re: U.S. Utility Patent Application  
Appl. No. 09/390,634; Filed: September 7, 1999  
For: **Method for Expanding Embryonic Stem Cells in Serum-Free Culture**  
Inventors: Price *et al.*  
Our Ref: 0942.4190002/RWE/FRC

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Copy of Response to Request for Corrected Filing Receipt;
2. A copy of the Second Preliminary Amendment amending the Title of the application, along with the return postcard date-stamped December 21, 1999 by the USPTO;
3. Request for Corrected Official Filing Receipt;
4. A copy of the Official Filing Receipt with correction noted in red; and
5. Return postcard.

Commissioner for Patents  
February 11, 2002  
Page 2

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Robert W. Esmond  
Attorney for Applicants  
Registration No. 32,893

RWE/pcd  
Enclosures

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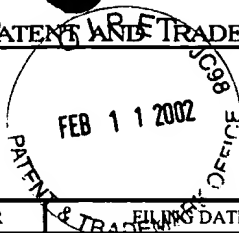

Bib Data Sheet

CONFIRMATION NO. 7270

<b>SERIAL NUMBER</b> 09/390,634	<b>FILING DATE</b> 09/07/1999 <b>RULE</b>	<b>CLASS</b> 435	<b>GROUP ART UNIT</b> 1632	<b>ATTORNEY DOCKET NO.</b> 09/390,634-1190002 <b>RECEIVED</b> FEB 19 2002 JULY CENTER 1600/2900
<b>APPLICANTS</b> PAUL J. PRICE, GRAND ISLAND, NY; MINDY D. GOLDSBOROUGH, GAITHERSBURG, MD; MARY LYNN TILKINS, NIAGARA FALLS, NY;				
<b>** CONTINUING DATA *****</b> THIS APPLICATION IS A DIV OF 08/781,772 01/10/1997 ABN <i>fw</i>				
<b>** FOREIGN APPLICATIONS *****</b>				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 09/22/1999</b>				
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged <i>fw</i> Examiner's Signature _____ Initials _____		<b>STATE OR COUNTRY</b> NY	<b>SHEETS DRAWING</b> 2	<b>TOTAL CLAIMS</b> 38  <b>INDEPENDENT CLAIMS</b> 17
<b>ADDRESS</b> STERNE KESSLER GOLDSTEIN & FOX 1100 NEW YORK AVENUE N W SUITE 600 WASHINGTON ,DC 200053934				
<b>TITLE</b> Method for expanding embryonic stem cells in serum-free culture				
<b>FILING FEE RECEIVED</b> 4774	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	



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FEB 19 2002

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APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09390634	09/07/99	PRICE et al.	0942.419000

STERNE KESSLER GOLDSTEIN & FOX  
SUITE 600  
1100 NEW YORK AVENUE N W  
WASHINGTON, DC 200053934

Date Mailed: 01/16/02

**RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT****Publication and General Rules Issues**

In response to your request for a corrected Filing Receipt, the Office is unable to comply with the request because:

- ☐ The articles such as "a", "an", and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- ☐ The words "new", "improved", "improvement of", "improvement in", or "improvement relating to" are not included as the first words in the title of an application because a patent application is, by nature, a new idea or improvement. See MPEP 606.
- ☐ The title appears on the filing receipt in sentence case for publication in the Annual Index of Patents.
- ☐ Amendments are not accepted in provisional applications. See 37 CFR 1.53(c).
- ☒ An amendment or Application Data Sheet is needed to make this change.
- ☐ The inventor's residence will only include the city and state for U.S. residences or city and country for residences outside the U.S. See MPEP 605.02.
- ☐ Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without the applicant supplying the relationship (continuation, divisional, or continuation-in-part) in an amendment or Application Data Sheet.

☐ Small entity status was previously claimed in this application. To notify the Office of a loss of entitlement to small entity status, see 37 CFR 1.27(g). To have a good faith error in claiming small entity status excused, see 37 CFR 1.28(c).

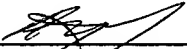
☐ The request for non-publication was not timely filed. A request for non-publication must be submitted upon filing of an application. The application is scheduled to be published on the date specified on the filing receipt.

☐ The request for non-publication has not been recognized because it is not conspicuous as required by 37 CFR 1.213.

☐ There was no prior request for non-publication in this application. The request to rescind the non-publication request will not be processed.

☐ Your request for non-publication will not be acknowledged because this application is not eligible for publication. Only utility and plant applications filed on or after November 29, 2000 are eligible for publication.

☐ The "Non-Publication Request" indicator is correct. If there was a proper request submitted at the time of filing, the notation on the filing receipt will be "Yes". If no such request was made, the notation will be "No".

  
\_\_\_\_\_  
Customer Service Center  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

PRICE *et al.*

Appl. No. 09/390,634

Filed: September 7, 1999

For: **Method for Expanding  
Embryonic Stem Cells in Serum-  
Free Culture**

Confirmation No. 7270

Art Unit: 1632

Examiner: Kerr, J.

Atty. Docket: 0942.4190002/RWE/FRC

**Request for Corrected Official Filing Receipt**

Commissioner for Patents  
Washington, D.C. 20231

Attn: Office of Initial Patent  
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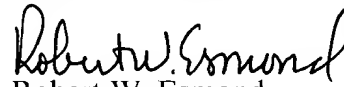
Applicants hereby request that a corrected Official Filing Receipt be issued and sent to the undersigned representative. Specifically, the following correction to the Official Filing Receipt is requested:

In the "**Title**" section, please change "METHOD FOR STEM CELLS IN SERUM FREE CULTURE" to -- METHOD FOR EXPANDING EMBRYONIC STEM CELLS IN SERUM-FREE CULTURE --

In support of the above request, a photocopy of the instant Official Filing Receipt is enclosed with the corrections noted in red. Also enclosed is a copy of the Second Preliminary Amendment, filed December 21, 1999, in which the title of the present application was amended, along with the date-stamped return postcard acknowledging receipt of the Second Preliminary Amendment in the U.S. Patent and Trademark Office (USPTO) on December 21, 1999. It is requested that a corrected Official Filing Receipt be issued, and sent to the undersigned at the earliest possible time.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Robert W. Esmond

Attorney for Applicants

Registration No. 32,893

Date: Feb 11, 2002  
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